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Sut	Substitute for form 1449A/B/PTO			Complete If Known		
				Application Number	10/014,960	
11	NFORMATIC)N DI	SCLOSURE	Filing Date	December 11, 2001	
S	STATEMENT BY APPLICANT			First Named Inventor	Harold A. Ludtke	
	***			Art Unit	2681	
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-022	

			U.S. PA	ATENT DOCUMENTS	
Examiner Initials*	Cite No.	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		NON PATENT LITERATURE DOCUMENTS	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number 10/014,960

Filing Date December 11, 2001

First Named Inventor Harold A. Ludtke

Art Unit 2681

Examiner Name Not Yet Assigned

Attorney Docket Number SONY 3.0-022

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Examiner Initials*	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
	No.	Number-Kind Code ² (# known)		Applicant of Cited Document	Relevant Passages or Releva Figures Appear
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	No.1		Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

	Complete if Known	
Application Number	10/014,960	
Filing Date	December 11, 2001	
First Named Inventor	Harold A. Ludtke	<u> </u>
Art Unit	2681	٠.
Examiner Name	Not Yet Assigned MAY 1 0 20	04

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			U.S. PA	TENT DOCUMENTS	
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Paperwork Reduction Act of 1895, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete If Known Substitute for form 1449A/B/PTO Application Number 10/014,960 INFORMATION DISCLOSURE Filing Date December 11. STATEMENT BY APPLICANT. First Named Inventor Harold A. Ludtke Art Unit 2681 (Use as many sheets as necessary) Not Yet Assign Technology Certier 2000 Examiner Name Sheet SONY 3.0-022 1 of Attorney Docket Number

			U.S.	PATENT DO	CUMENTS		
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^{**}English translation of abstract only.

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Initials*	No.1	Number-Kind Code ³ (Il known)		of Cited Document	Passages or Relevant Figures Appear
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11/	BC	Taiwan 351799	02-01-1999	Rudolf Ritter		X

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¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English tanguage Translation is attached.

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, atc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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				Art Unit	2681	
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ON	CA	U.S. Patent Application No. 10/014,112, filed December 11, 2001, entitled "System And Method For Conducting Secure Transactions Over A Network".			
001	СВ	U.S. Patent Application No. 10/015,070, filed December 11, 2001, entitled "Method And System Of Conducting Network-Based Transactions".			
01	СС	U.S. Patent Application No. 09/483,584, filed December 11, 2000, entitled "Secure Electronic Commerce System".			

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